

**Regulation 53B of the Capital Markets (Licensing Requirements) General Regulations 2002**

**Change in Shareholding Checklist**

No.	Requirement	Met: Y/N	Remarks		
1.	Name and date of incorporation of Company				
2.	Key information about the Current shareholding structure:				
	Name of shareholder			Number of shares held	Percentage shareholding
3.	Key information about the Proposed shareholding structure:				
	Name of shareholder			Number of shares held	Percentage shareholding
4.	Change in Shareholding Returns filed with Registrar of Companies				
5.	Ultimate beneficial owners of institutional shareholders together with the percentage shareholding of the said ultimate beneficial owners				
6.	Agreement for sale of shares(where necessary)				
7.	Certificate of comfort/No Objection from primary regulator of new shareholder(s) (if necessary)				
8.	Details of proposed change or additional appointment of director necessitated by the proposed change in shareholding				