



## PUBLIC NOTICE

### THE CAPITAL MARKETS ACT (CAP 485A)

# REQUEST FOR STAKEHOLDER AND PUBLIC FEEDBACK ON THE DRAFT CAPITAL MARKETS (CONDUCT OF BUSINESS) (MARKET INTERMEDIARIES) REGULATIONS, 2023

The Capital Markets Authority is charged with the mandate of regulating and developing Kenya's capital markets. In line with the Statutory Instruments Act, Capital Markets Masterplan 2014 – 2023 and the Strategic Plan, the Authority seeks to ensure that the capital markets legal and regulatory framework is up to date and responsive to changing market dynamics, technological advancements, and emerging stakeholder needs.

In this regard, the Authority has reviewed the **Capital Markets (Conduct of Business) (Market Intermediaries) Regulations, 2011** in a bid to make them responsive to market needs and address new and emerging issues and has developed the **draft Capital Markets (Conduct of Business) (Market Intermediaries) Regulations 2023**.

In accordance with **Section 12A (3)** of the of the Capital Markets Act the Authority now invites stakeholders and the public to submit comments on the draft Regulations, available on [www.cma.or.ke](http://www.cma.or.ke). Kindly submit your comments by **8 December 2023** to:

The Chief Executive Officer  
Capital Markets Authority  
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3rd Floor, Embankment Plaza  
Longonot Road, Upperhill  
Email: [comments@cma.or.ke](mailto:comments@cma.or.ke)  
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